

0300

TRANSMITTAL OF FORMAL DRAWINGS

(In Response to Notice of Informal Drawings)

Docket No.

3287

In Re Application Of: **Thomas A. Mazza, et al**

Serial No.

09/733141

Filing Date

December 8, 2000

Batch No.

N/A

Examiner

Not yet known

Art Unit

2182

Invention: **TRADING SYSTEM CONTROLLER**



Address to:

**Assistant Commissioner for Patents
Washington, D.C. 20231**

In response to the NOTICE OF INFORMAL DRAWINGS mailed on January 30, 2001 attached please find:
(date)

(a) 1-7 (Figs 1-9 sheets of formal drawing(s) for this application.

Each sheet of drawing indicates the identifying indicia suggested in 37 CFR Section 1.84(c) on the reverse side of the drawing.

(b) A copy of the NOTICE OF INFORMAL DRAWINGS.

Signature

**Michael P. Mazza
Niro, Scavone, Haller & Niro
181 W. Madison-Suite 4600
Chicago, IL 60602
(312) 236-0733**

Dated: March 22, 2001

I certify that this document and attached drawings are being deposited on March 22, 2001 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Signature of Person Mailing Correspondence

Hannah Martin

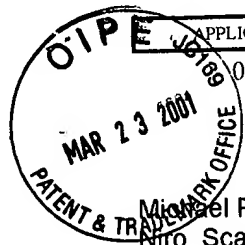
Typed or Printed Name of Person Mailing Correspondence

#3



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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/733,141	12/08/2000	Thomas A. Mazza	3287

CONFIRMATION NO. 2124

FORMALITIES LETTER



OC000000005717205

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Date Mailed: 01/30/2001

NOTICE TO FILE CORRECTED APPLICATION PAPERS

Filing Date Granted

This application has been accorded an Application Number and Filing Date. The application, however, is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given TWO MONTHS from the date of this Notice within which to correct the informalities indicated below. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a)

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

A copy of this notice MUST be returned with the reply.

Melham - Teste Begonia
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE